

# Biocompatibility of Raw Materials for Medical Devices



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# Overview



ISO 10993-1: How this applies to raw materials



Medical Device Regulation: discuss regarding carcinogens, mutagens, and reproductive toxins (CMRs)



Who is responsible for testing?



What information and testing on raw materials is useful for medical device companies?

# STANDARDS AND REGULATIONS

ISO 10993-1:  
2018

- Biological evaluation of medical devices – Part 1: Evaluation and testing within a risk management process

MDR

- Regulation (EU) 2017/745 of the European Parliament and of the Council of 05 April 2017 on Medical Devices. (MDR)

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# ISO 10993-1

## Section 4.3

“The following shall be taken into account for their relevance to the overall biological evaluation of the medical device:

- a) the material(s) of construction (i.e. all direct and indirect tissue contacting materials);
- b) intended additives, process contaminants and residues (for example, testing for ethylene oxide sterilization residuals shall be conducted in accordance with ISO 10993-7);
- c) packaging materials that directly or indirectly contact the medical device can transfer chemicals to the medical device and then indirectly to the patient or clinician;”

**Table A.1 — Endpoints to be addressed in a biological risk assessment**

Medical device categorization by			Endpoints of biological evaluation															
Nature of body contact		Contact duration	Physical and/or chemical information	Cytotoxicity	Sensitization	Irritation or intra cutaneous reactivity	Material mediated pyrogenicity <sup>a</sup>	Acute systemic toxicity <sup>b</sup>	Sub acute toxicity <sup>b</sup>	Sub chronic toxicity <sup>b</sup>	Chronic toxicity <sup>b</sup>	Implantation effects <sup>b,c</sup>	Hemocompatibility	Genotoxicity <sup>d</sup>	Carcinogenicity <sup>d</sup>	Reproductive/developmental toxicity <sup>d,e</sup>	Degradation <sup>f</sup>	
Category	Contact	A - limited (≤24 h) B - prolonged (>24 h to 30 d) C - Long term (>30 d)																
Surface medical device	Intact skin	A	Xg	Eh	E	E												
		B	X	E	E	E												
		C	X	E	E	E												
	Mucosal membrane	A	X	E	E	E												
		B	X	E	E	E		E	E			E						
		C	X	E	E	E		E	E	E	E	E		E				
	Breached or compromised surface	A	X	E	E	E	E	E										
		B	X	E	E	E	E	E	E			E						
		C	X	E	E	E	E	E	E	E	E	E		E	E			
Externally communicating medical device	Blood path, indirect	A	X	E	E	E	E	E					E					
		B	X	E	E	E	E	E	E				E					
		C	X	E	E	E	E	E	E	E	E	E	E	E	E			
	Tissue/ bone/ dentin <sup>l</sup>	A	X	E	E	E	E	E										
		B	X	E	E	E	E	E	E			E		E				
		C	X	E	E	E	E	E	E	E	E	E		E	E			
	Circulating blood	A	X	E	E	E	E	E						E	E <sup>j</sup>			
		B	X	E	E	E	E	E	E				E	E	E			
		C	X	E	E	E	E	E	E	E	E	E	E	E	E	E		

Table A.1 (continued)

Medical device categorization by			Endpoints of biological evaluation															
Nature of body contact		Contact duration	Physical and/or chemical information	Cytotoxicity	Sensitization	Irritation or intracutaneous reactivity	Material mediated pyrogenicity <sup>a</sup>	Acute systemic toxicity <sup>b</sup>	Subacute toxicity <sup>b</sup>	Subchronic toxicity <sup>b</sup>	Chronic toxicity <sup>b</sup>	Implantation effects <sup>b,c</sup>	Hemocompatibility	Genotoxicity <sup>d</sup>	Carcinogenicity <sup>d</sup>	Reproductive/developmental toxicity <sup>d,e</sup>	Degradation <sup>f</sup>	
Category	Contact	A - limited (≤24 h) B - prolonged (>24 h to 30 d) C - Long term (>30 d)																
Implant medical device	Tissue/bone <sup>g</sup>	A	X	E	E	E	E	E										
		B	X	E	E	E	E	E	E		E		E					
		C	X	E	E	E	E	E	E	E	E	E		E	E			
	Blood	A	X	E	E	E	E	E				E	E	E				
		B	X	E	E	E	E	E	E			E	E	E				
		C	X	E	E	E	E	E	E	E	E	E	E	E	E	E		

<sup>a</sup> Refer to ISO 10993-11:2017, Annex F.

<sup>b</sup> Information obtained from comprehensive implantation assessments that include acute systemic toxicity, subacute toxicity, subchronic toxicity and/or chronic toxicity may be appropriate if sufficient animals and timepoints are included and assessed. It is not always necessary to perform separate studies for acute, subacute, subchronic, and chronic toxicity.

<sup>c</sup> Relevant implantation sites should be considered. For instance medical devices in contact with intact mucosal membranes should ideally be studied/ considered in contact with intact mucosal membranes.

<sup>d</sup> If the medical device can contain substances known to be carcinogenic, mutagenic and/or toxic to reproduction, this should be considered in the risk assessment.

<sup>e</sup> Reproductive and developmental toxicity should be addressed for novel materials, materials with a known reproductive or developmental toxicity, medical devices with relevant target populations (e.g. pregnant women), and/or medical devices where there is the potential for local presence of device materials in the reproductive organs.

<sup>f</sup> Degradation information should be provided for any medical devices, medical device components or materials remaining within the patient, that have the potential for degradation.

<sup>g</sup> X means prerequisite information needed for a risk assessment.

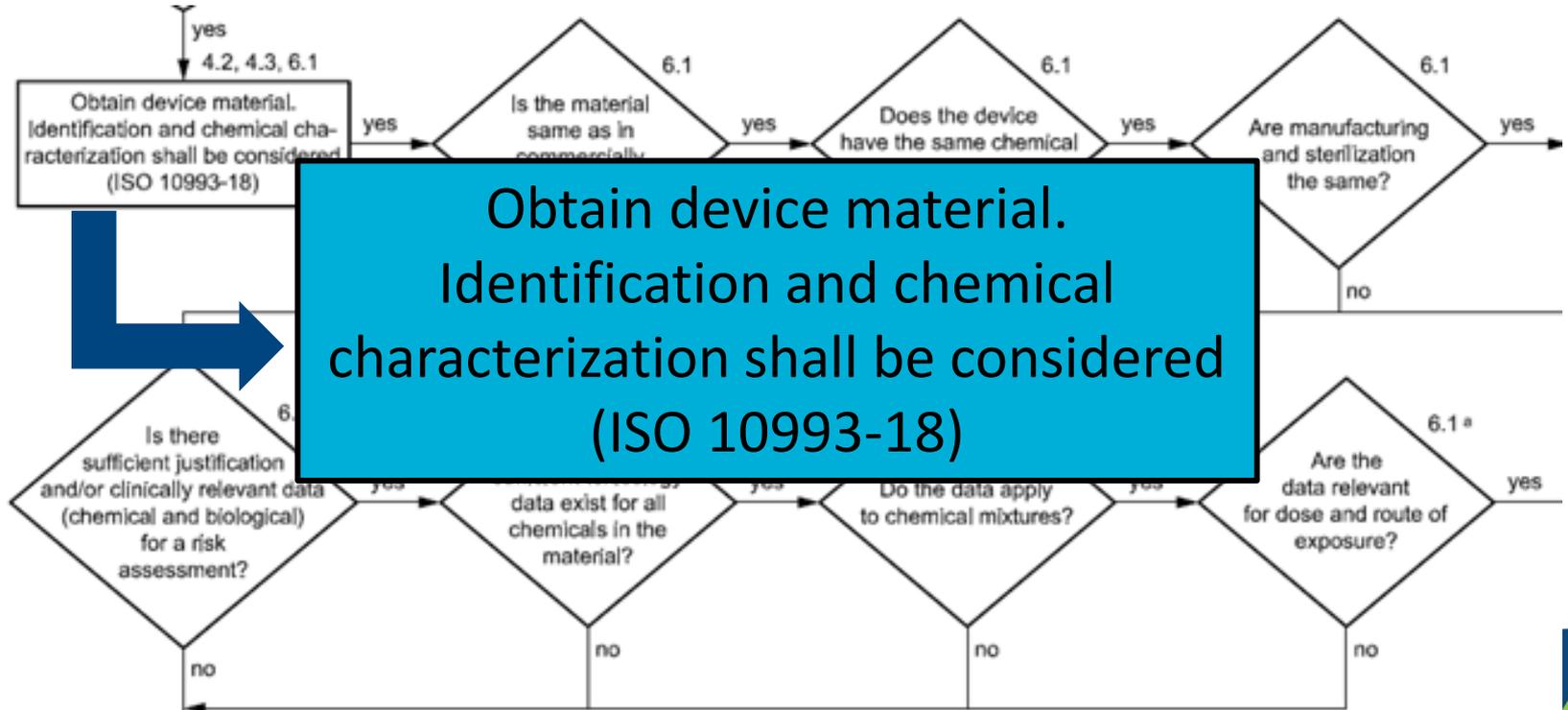
<sup>h</sup> E means endpoints to be evaluated in the risk assessment (either through the use of existing data, additional endpoint-specific testing, or a rationale for why assessment of the endpoint does not require an additional data set). If a medical device is manufactured from novel materials, not previously used in medical device applications, and no toxicology data exists in the literature, additional endpoints beyond those marked "E" in this table should be considered. For particular medical devices, there is a possibility that it will be appropriate to include additional or fewer endpoints than indicated.

<sup>i</sup> Tissue includes tissue fluids and subcutaneous spaces. For gas pathway devices or components with only indirect tissue contact, see device specific standards for biocompatibility information relevant to these medical devices.

<sup>j</sup> For all medical devices used in extracorporeal circuits.

# ISO 10993-1

## Identify Risks by identifying what we already know



# ISO 10993-1

## 3.17 physical and chemical information

- knowledge regarding formulation, manufacturing processes, geometric and physical properties and type of body contact and clinical use that is used to determine whether any additional biological or material characterization testing is needed

## 3.13 material characterization

- broad and general process of collecting existing information about a material's chemistry, structure and other properties, and if appropriate, new data, to facilitate the evaluation of these properties

# Overview



ISO 10993-1: How this applies to raw materials



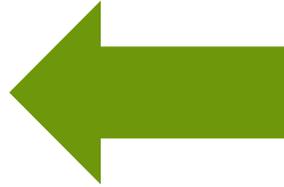
Medical Device Regulation: discuss regarding carcinogens, mutagens, and reproductive toxins (CMRs)



Who is responsible for testing?



What information and testing on raw materials is useful for medical device companies?



# MDR

shall only contain the following substances in a concentration that is above 0.1 % weight by weight (w/w) where justified pursuant to Section 10.4.2:

- (a) substances which are carcinogenic, mutagenic or toxic to reproduction ('CMR'), of category 1A or 1B, in accordance with Part 3 of Annex VI to Regulation (EC) No 1272/2008 of the European Parliament and of the Council<sup>1</sup>, or
- (b) substances having endocrine-disrupting properties for which there is scientific evidence of probable serious effects to human health and which are identified either

# Understanding the 0.1% CMR requirement

Applies to devices with direct and indirect (delivery of liquids or gases), invasive contact.

**YES:** IV Tubing, surgical tool, implant, breathing tube.

**NO:** Any wearable device with intact skin contact.

# CMR lists

## From the MDR

- “Category 1A or 1B, in accordance with Part 3 of Annex VI to Regulation (EC) No 1272/2008 of the European Parliament and of the Council”
- Harmonized classification and labeling of hazardous substances list from ECHA: <https://echa.europa.eu/information-on-chemicals/annex-vi-to-clp>
- Some compounds are not CMRs so the list needs to be filtered

# CMR lists

## Alternate lists

- REACH: broad set of chemical with various human and environmental impact - not specific enough to address CMRs
- Prop 65: list of compounds known to cause cancer or reproductive toxicity. Excludes mutagens –not inclusive enough.
- COSING Annex II: prohibited cosmetic ingredients (compounds already included in Annex VI CLP list)

# How to address CMRs

## Best Practice

- Supplier provided certificate
- Can protect IP
- Generated in house

## Alternate Option\*

- High level screening chemistry
- Based on patient exposure, not device make-up

\*Not known if this approach is accepted by notified bodies.

# How to address CMRs

## Supplier provided certificate

Review materials  
used in manufacture  
of material – all  
compounds



Compare against CLP  
Annex VI list



Generate a CMR  
Statement

# Overview



ISO 10993-1: How this applies to raw materials



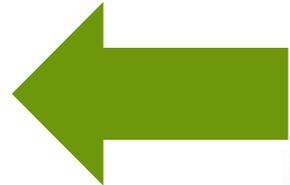
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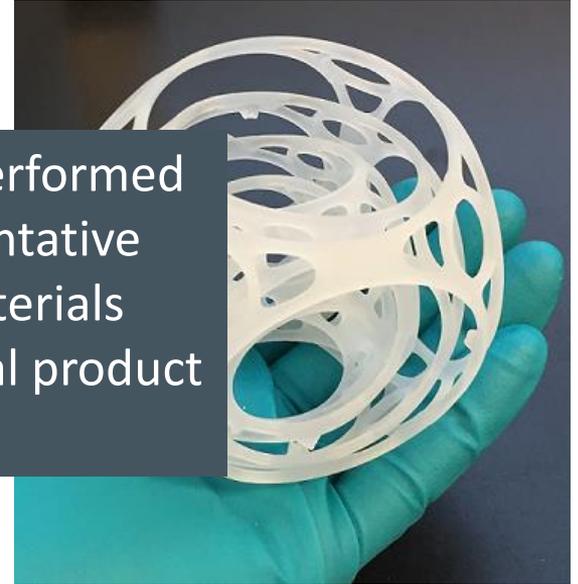


# Testing responsibility



Raw Material

ISO 10993-1, 6.2.1 a) “Testing shall be performed on the sterile final product, or representative samples from the final product or materials processed in the same manner as the final product (including sterilization).”



Finished Device

# Overview



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		C	X	E	E	E	E	E	E	E	E	E	E	E	E				
	Tissue/bone/dentin <sup>i</sup>	A	X	E	E	E	E	E											
		B	X	E	E	E	E	E	E			E		E					
		C	X	E	E	E	E	E	E	E	E	E		E	E				
	Circulating blood	A	X	E	E	E	E	E						E	E <sup>j</sup>				
		B	X	E	E	E	E	E	E				E	E	E				
		C	X	E	E	E	E	E	E	E	E	E	E	E	E	E			

# Physical/Chemical Information

## Supplier information

**ISO 10993  
compliance**

**USP Class VI**

# Supplier Information

USP  
Class VI

- Meant for pharmaceutical closure containers

USP  
<88>

- Irritation
- Acute systemic toxicity
- 7 day implant

# Supplier Information

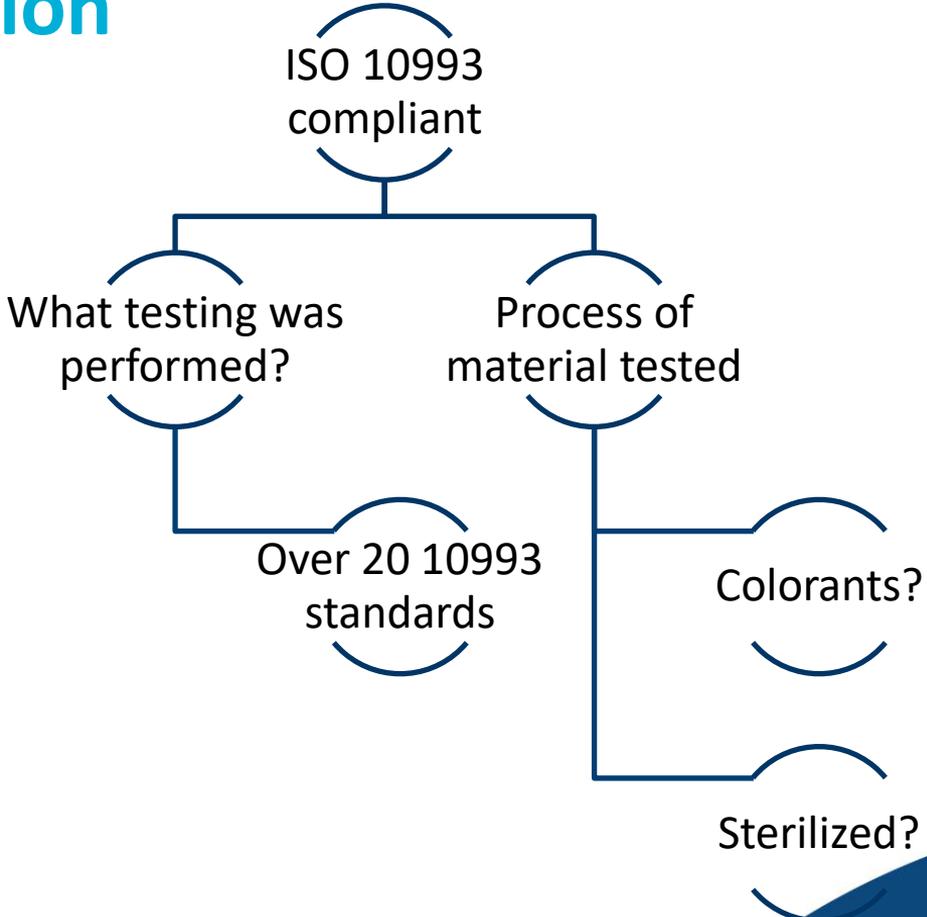


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		C	X	E	E	E	E	E	E	E	E	E		E	E				
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		B	X	E	E	E	E	E	E				E	E	E				
		C	X	E	E	E	E	E	E	E	E	E	E	E	E	E			

# Cytotoxicity

## Sample requirements

MEM Elution:  
120 cm<sup>2</sup> or 4 g

Agar Overlay: 500  
mm<sup>2</sup> or 1g

## TAT

4 days for  
preliminary  
data, 6 days for  
final report

## Usual problems

Latex, Natural  
Rubber, Silver,  
Copper, Dark  
Inks, Short  
Curing Times.

# Cytotoxicity: MEM Elution

## GRADE REACTIVITY DESCRIPTION

0	1	2	3	4
<b>None</b>	<b>Slight</b>	<b>Mild</b>	<b>Moderate</b>	<b>Severe</b>
<ul style="list-style-type: none"><li>Discrete intracytoplasmic granules, no cell lysis.</li></ul>	<ul style="list-style-type: none"><li>Not more than 20% of the cells are rounded, loosely attached, and without intracytoplasmic granules; occasional lysed cells are present.</li></ul>	<ul style="list-style-type: none"><li>Not more than 50% of the cells are rounded and devoid of intracytoplasmic granules; no extensive cell lysis and empty areas between cells.</li></ul>	<ul style="list-style-type: none"><li>Not more than 70% of the cells are rounded and/or lysed.</li></ul>	<ul style="list-style-type: none"><li>Nearly complete destruction.</li></ul>
		<b>Pass</b>	<b>Fail</b>	

# Irritation

## Intracutaneous Reactivity

### 3 Rabbits

- 10 injections each: 5 right (test) and 5 left (control)

### DATA

- Subjective, Qualitative data

### TAT

- 4-5 weeks

### Sample Req.

- 240 cm<sup>2</sup> or 8 g, min. two samples

# Irritation

## Injection

Extracts on 5 sites on right (test) and 5 other sites on left (control)

## Observation

Sites are observed for 24, 48, and 72 hours post injection and given an irritation score (0-4) or erythema and oedema.

## Data Interpretation

After the 72 hours, all the scores are added then divided by 15 (3 time points X 5 injection sites); then the scores from the rabbits will be added and divided by 3

## Results

The test article is considered a non-irritant if the difference between the test article and control mean score is **1.0 or less**

# Introduction to *in vitro* irritation

Uses Reconstructed Human Epidermis (RhE)



Photo by Daniel Olsen. ©Nelson Laboratories, LLC.

# *In Vitro* Irritation

*In vitro* irritation (RhE)

**15 tissues**

- 3 positive
- 6 negative
- 6 test article

**DATA**

- Quantitative

**TAT/Cost**

- 4-5 weeks
- ~\$2,800

**Sample Req.**

- 2 samples

# *In Vitro* Irritation

## Extraction

Polar and non-polar according to ISO 10993-12.

## Exposure

Test article extract is topically applied to tissues. Incubated for 18-24 hrs.

## Cell Viability

After exposure, tissues are rinsed and exposed to MTT. Then, tissues are rinsed again and extracted in IPA.

## Data Analysis

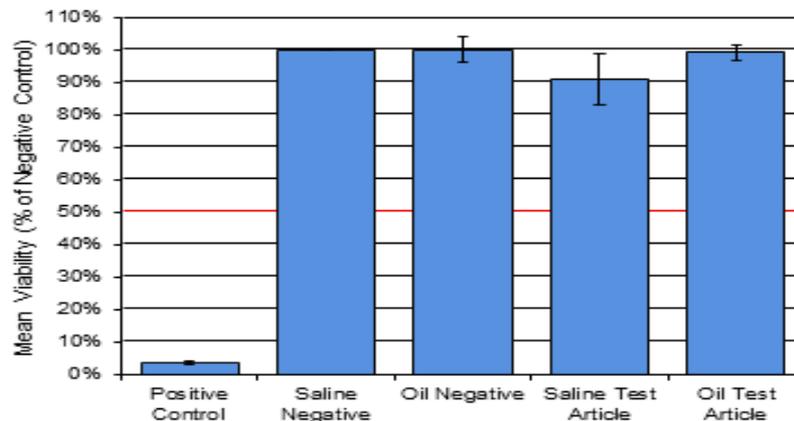
The IPA extract is read on a spectrophotometer and results are compared to the negative control.

# Data Analysis

$$\text{Percent viability(\%)} = [\text{OD}_{\text{PC/TA}} / \text{Mean OD}_{\text{NC}}] \times 100$$

Results Summary

Sample	Optical Density		Percent Viability		Category
	Mean	St. Dev.	Mean	St. Dev.	
Positive Control	0.050	0.006	3.4%	0.4%	I
Saline Negative	1.503	0.003	100.0%	0.2%	NI
Oil Negative	1.605	0.066	100.0%	4.1%	NI
Saline Test Article	1.365	0.120	90.8%	8.0%	NI
Oil Test Article	1.590	0.037	99.1%	2.3%	NI

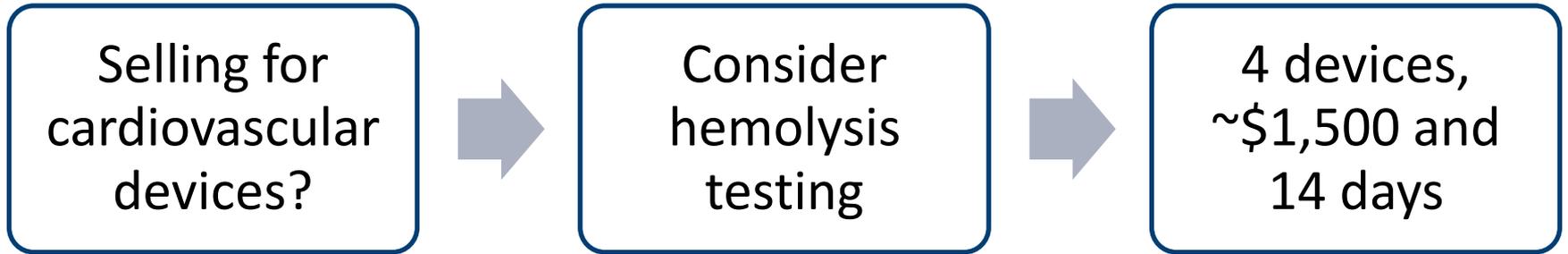


- $\leq 50\%$ : Irritant (I), R38 GHS Category 2
- $> 50\%$ : Non-irritant (NI)

# Sensitization

Test Method	Device Contact	TAT	Sample Amount	Data
Guinea Pig Maximization	Indirect	8-9 weeks	6 samples (120 cm <sup>2</sup> or 4 g each)	Qualitative
Local Lymph Node Assay (LLNA)	Indirect	4-6 weeks	6 samples (120 cm <sup>2</sup> or 4 g each)	Quantitative
Buehler	Direct	8-9 weeks	100, 1x1 inch patches	Qualitative

# Other considerations



# Certificate of Biocompatibility

**Material:**

This statement of biocompatibility only applies to the XXXX. Any change or additional processing needs to be further assessed for impact to biocompatibility of the final finished product.



**Specification of Test Article**

The material was then sterilized by EO/Gamma/VHP/steam prior to testing.

**Table 1. Tests Performed**

Biological Effect	Assay Title	Testing Standard	Result
Cytotoxicity	MEM Elution	ISO 10993-5	
Sensitization	Magnusson-Kligman Maximization	ISO 10993-10	
Irritation	Intracutaneous Reactivity	ISO 10993-10	
Systemic Toxicity (acute)	Systemic Injection	ISO 10993-11	
Pyrogenicity	Material Mediated Pyrogen	ISO 10993-11	
Hemocompatibility	Hemolysis (indirect)	ISO 10993-4 and ASTM F756	
	Hemolysis (direct)	ISO 10993-4 and ASTM F756	

**Conclusion**

The XXXX as described was tested for the biological endpoints required by ISO 10993-1 as outlined in Table 1.



Any change to or additional processing needs to be further assessed for impact to biocompatibility of the final finished product. It is the responsibility of the manufacturer to assess the final finished device or product for its biocompatibility.

# A Note on Changes

What is a  
“change”?

Why are we  
making the  
change?

How does this  
affect the end  
considerations?

- Sterility
- Effectiveness
- Biocompatibility
- Cleanability

# A Note on Changes

A glass raw material is being tested for lot release and has years of passing cytotoxicity scores. Recently, one lot of material received a cytotoxicity score of “2”.

What are some possible causes of this outcome?

# Overview



**ISO 10993-1: How this applies to raw materials**

Gathering physical/chemical information is a requirement and starts with the raw materials



**Medical Device Regulation: discuss regarding carcinogens, mutagens, and reproductive toxins (CMRs)**

CMR Certificate is required for devices sold in the EU



**Who is responsible for testing?**

Final device manufacturer



**What information and testing on raw materials is useful for medical device companies?**

ISO 10993: cytotoxicity, sensitization, irritation and sometimes hemolysis

# Where to go from here

More information on biocompatibility?

- <https://www.nelsonlabs.com/find-a-test/medical-devices/biocompatibility-toxicology/>

Interested in our offerings presented here?

- Email inquiries to [consulting@nelsonlabs.com](mailto:consulting@nelsonlabs.com)

More webinars on biocompatibility

- <https://www.nelsonlabs.com/event-category/on-demand-webinars/>